

Proceedings of the Transdisciplinary Symposium on Engineering and Technology (TSET) 2022 Development of Digital and Green Technology on Post Pandemic Era

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**Editors • Ade Gafar Abdullah, Desi Ramayanti, Henri Septanto
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
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“Development of Digital and Green Technology on Post Pandemic Era”

It is with great pleasure to welcome you to Transdisciplinary Symposium on Engineering and Technology (TSET) 2022 hosted by Universitas Dian Nusantara on September 21, 2022. The event aims to a venue for engineers, researchers, scholars, and policy makers to explore the challenges and opportunities from the post pandemic era on civil engineering, mechanical engineering, electrical engineering and computer science. For civil engineers, they will play a significant part in the recovery since design and construction services will be needed in the future, and they need to develop new construction methods, materials, and technologies in order to build a sustainable and resilient infrastructure. For engineers, they need to start thinking about the long-term change of their operations and adapt to the “new normal” that has emerged because of the epidemic. We welcome all parties to share their research and thoughts in the symposium.

Participants of the symposium were invited to submit their papers and disseminate them through oral presentation covering such scope as civil engineering, mechanical engineering, electrical engineering and computer science. To enrich the discussion under the theme of “Development of Digital and Green Technology on Post Pandemic Era”, we invited speakers with reputable expertise, namely Prof. Josaphat Tetuko Sri Sumantyo, Ph.D. from Chiba University, Japan; Prof. Dr. rer. nat. Evvy Kartini, M.Sc. from National Nuclear Energy Agency of Indonesia; Prof. Dr. Ir. Bambang Sugiarto, M.Eng. from Universitas Indonesia, Indonesia; and Sulfikar Amir, Ph.D. from Nanyang Technological University, Singapore. In addition to presenting their research results, the participants of the symposium were also encouraged to submit their papers to be proposed for publication to American Institute of Physics (AIP), one of the world’s top publishers as conference proceedings. There were 125 manuscripts submitted to the committee comprising 99 papers of Biology, Chemistry, Computer Science and Technology, and Engineering.

Finally, on behalf of the editors of TSET 2022, I would like to extend my most sincere gratitude to the organizing committee, co-hosting institutions, and most importantly, participants, speakers, presenters, and authors of the symposium. I do hope the proceedings bring significant contribution, particularly to the field of advances of sustainable engineering. I look forward to seeing you all at the upcoming symposium.

The Editors,
Ade Gafar Abdullah
Desi Ramayanti
Henri Septanto
Yohanes Galih Adhiyoga

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
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
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Guitar Chord Recognition using MFCC Based Feature Extraction with Kaiser Windowing

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Abstract. Based on the previous studies of the guitar chord recognition systems, there is an indication that a study can still be carried out. In this case, an indication to study a lower number of coefficients of feature extraction in a guitar chord recognition system. The purpose of this study is to obtain a lower number of coefficients of feature extraction in a guitar chord recognition system than the previous studies. In this study, the guitar chord recognition system uses MFCC (Mel Frequency Cepstral Coefficients) based feature extraction with Kaiser windowing. This study evaluated three parameters from the system, namely the lowest mel filter frequency and the number of mel filters in the mel filter bank, and also the shape factor of the Kaiser window. The results showed that by using only four coefficients of feature extraction, it could achieve an accuracy of up to 92.14%. As a note this accuracy was carried out by using 140 test chords.

INTRODUCTION

In a recognition system, usually there is a sub-system called feature extraction. The purpose of this sub-system is to determine the significant information from a huge quantity of data. By using this significant information, the system will use less data for data processing. In a chord recognition system, there are two approaches that can be used for feature extraction. The first one is chroma-based feature extraction, and the second one is non-chroma-based feature extraction. For chroma-based feature extraction, the coefficients of feature extraction are associated with the power of the fundamental frequencies of the chord. In contrast, for non-chroma-based feature extraction, the coefficients of feature extraction are not associated with the power of the fundamental frequencies of the chord.

PCP (Pitch Class Profile) [1] is a feature extraction method that uses a chroma-based approach. This PCP method uses 12 coefficients of feature extraction. This is the original PCP method. After that, there are several derivatives of the original PCP method. Some of these derivatives include CRP (Chroma DCT-Reduced log Pitch) Enhanced PCP [2], Improved PCP [3], and Harmonic PCP [4]. These derivatives also use 12 coefficients of feature extraction.

MFCC (Mel Frequency Cepstral Coefficients) [5,6] and segment averaging [7,8] are two non-chroma-based feature extraction methods. The conventional MFCC feature extraction method only uses a number of 8–13 coefficients of feature extraction [5]. Recent studies of the MFCC feature extraction methods for chord recognition continue to use a number of 13 coefficients of feature extraction [9,10]. In the meantime, recent studies of segment averaging feature extraction for chord recognition use the lower number of coefficients of feature extraction down to eight [7] and six [8] respectively. In this case, by using the lower numbers of these coefficients, the chord recognition system was able to achieve an accuracy of more than 90%.

From the standpoint of the lower numbers of coefficients of feature extraction [7,8], a study for lowering the number of these coefficients still can be carried out. The reduced number of these coefficients will benefit a smaller quantity of data in data processing. The use of this reduced number of these coefficients will help chord recognition implementations employing FPGA (Field Programmable Gate Array) [11,12]. We can use this FPGA to build a

system-on-chip. This system can be taped out as an ASIC (Application Specific Integrated Circuit) for electronic devices.

This study proposes evaluating three parameters to obtain less than eight coefficients of feature extraction for a chord recognition system using MFCC with Kaiser windowing. The three parameters are the lowest mel filter frequency and the number of mel filters in the mel filter bank, and also the shape factor of the Kaiser window. As a first note, by using less than eight coefficients of feature extraction, the chord recognition could achieve an accuracy of above 90%. As a second note, the limit value of 90% is the limit where we can see that by evaluating these three parameters, this study is better than the previous ones.

RESEARCH METHODOLOGY

The Chord Recognition System

A block diagram of the chord recognition system that was developed in this study is shown in Fig. 1. As a note, it was implemented using Octave software. In more detail, the chord recognition system that is in Fig. 1 is described as below.

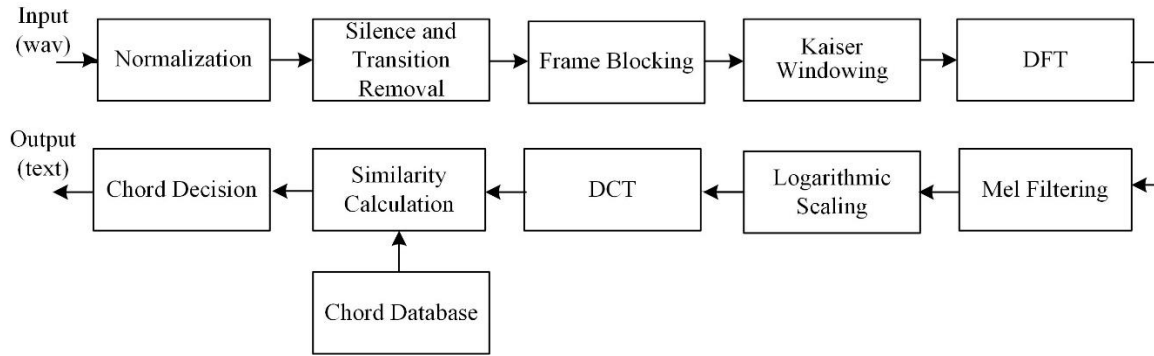


FIGURE 1. The chord recognition system

Input

The chord recognition system uses a chord signal in WAV format as input. This signal is a type of isolated signal. It was recorded from a Yamaha CPX 500-II guitar. This guitar is shown in Fig. 2. This study used seven major chords, namely C, D, E, F, G, A, and B [7,8]. These chords were obtained by recording them at a 5 kHz sampling frequency. This frequency has satisfied the sampling theorem of Shannon [13] as follows.

$$f_s \geq 2f_{max} \quad (1)$$

where f_{max} is the maximum frequency component of the signal, and f_s is the sampling frequency of the signal. Since the maximum frequency component of the above-mentioned chords is 392 Hz, the above-mentioned sampling frequency of the signal has satisfied the sampling theorem of Shannon. This maximum frequency originates from the tone G4 found in the chord G. In this study, a sample time of 2 seconds was used since, by using eye inspection, it was adequate to get the steady state part of the recorded chord signal.



FIGURE 2. Yamaha CPX 500-II guitar

Normalization, Silence and Transition Removal

Normalization is used to adjust the maximum value in the array of signal data to 1 or -1. This normalization is performed in order to resolve the problem of signal level during recording.

Silence and transition removal is used to remove regions of silence and transition that appear in the signal data array. As a note, These regions are removed since there is no signal information in these regions. First, using eye inspection, the silence region on the left-side part of the signal data array could be removed using a $|0.5|$ threshold value. If the data was less than $|0.5|$ when scanned from left to right, it was removed. Second, using eye inspection, the transition region on the leftmost-side part can be removed with a duration time of 200ms.

Frame Blocking

Frame blocking is used to split lengthy signal data into shorter signal frames [14]. Previous MFCC study used signal frames that had a length of 15–25ms [15]. This signal frame has a 50% overlap between the adjacent frames. In this case, frame overlap is needed in order to keep the continuity of signal within frames. Based on the evaluation, this study used a signal frame that had a length of 32ms. This signal frame also had a 50% overlap between the adjacent frames. This evaluation was performed by evaluating the optimal length of the signal frame and also the overlap between the adjacent frames, which gives the highest chord accuracy.

Kaiser Windowing

Windowing is used to reduce the level of discontinuities at the portion of the signal frame's edges. These discontinuities will cause extra signals in the magnitude spectrum. These signals are known as harmonic signals. Therefore, if these discontinuities are reduced, harmonic signals will be diminished. The Kaiser window was used in this study. This window is commonly used in signal processing [16]. It is expressed as the following.

$$w_K(m) \triangleq \begin{cases} \frac{I_0\left(\beta \sqrt{1 - \left(\frac{m}{M/2}\right)^2}\right)}{I_0(\beta)}, & -\frac{M-1}{2} \leq m \leq \frac{M-1}{2} \\ 0, & \text{elsewhere} \end{cases} \quad (2)$$

where I_0 is the zero-order modified Bessel function of the first kind, β is the shape factor, and M is the length of window. The expression of I_0 is shown below.

$$I_0(x) \triangleq \sum_{k=0}^{\infty} \left[\frac{\left(\frac{x}{2}\right)^k}{k!} \right]^2 \quad (3)$$

DFT (Discrete Fourier Transform)

DFT is used to generate a magnitude spectrum frame from a windowed frame. Because the magnitude spectrum is symmetric, this study only use half of the left side. As a note, that magnitude spectrum frame is needed since the next process, mel filtering, will perform filtering in the frequency domain.

Mel Filtering

A mel is defined as a unit of measurement that is based on the perceived (felt) frequency of the human ear. This perceived frequency does not have a linear relation with the actual frequency of the tone. The equation for mel's approximation to physical frequency is given below.

$$f_{mel} = 1127 \ln \left(1 + \frac{f_{phy}}{700} \right) \quad (4)$$

where f_{phy} is physical frequency, and f_{mel} is perceived frequency. A mel filtering is shown in Fig. 3. This mel filtering is used in this study. The input is a magnitude spectrum frame and the output is a 1D array of mel frequency coefficients. A number of N mel filters exist in the mel filter bank.

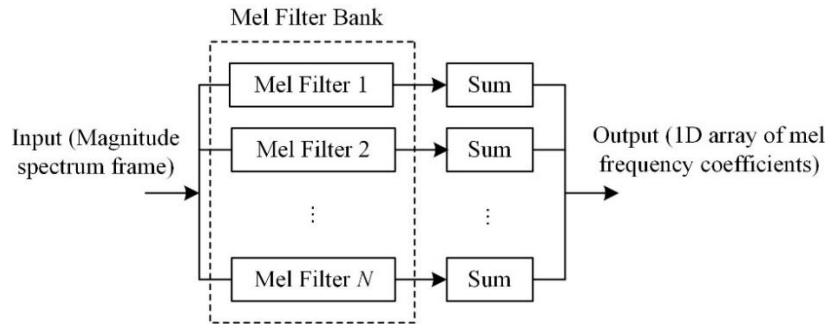


FIGURE 3. Mel filtering

The type of the above-mentioned mel filters is a bandpass filter. The center frequencies of these bandpass filters on the frequency axis are not uniformly spaced. In more detail, these center frequencies are spaced not uniformly using a nonlinear function as shown in equation (4). The triangular shape is the most commonly used shape for mel filters [5]. This type of shape was used in this study.

In this study, in this mel filtering process, all magnitude spectrum frames are processed simultaneously. As seen in Fig. 3, each magnitude spectrum frame will result in a 1D array of mel frequency coefficients. Then, a number of magnitude spectrum frames will result in a number of 1D arrays of mel frequency coefficients. Finally, all of these 1D arrays are organized into a 2D array of mel frequency coefficients.

Logarithmic Scaling and DCT

Logarithmic scaling is used to calculate the logarithm of every element in the above-mentioned 2D array of mel frequency coefficients. In this case, this logarithmic scaling is needed for the calculation of the cepstral coefficients.

DCT is used to calculate a 2D array of cepstral coefficients from a 2D array of mel frequency coefficients. This calculation is carried out by using 2D DCT. The upper left portion of the 2D array of cepstral coefficients contains a large amount of information about the input signal. In this study, this information was acquired via zigzag scanning. The zigzag scanning yielded a 1D array of cepstral coefficients. Several of that array's first numbers are referred to as the coefficients of feature extraction of the input signal. The zeroth coefficient from that array was not used in this study since it contains only a small amount of particular information [5].

Similarity calculation, chord decision, and output

A number of values of similarity are calculated between an array of a chord coefficients that extracted from the input signal and a number arrays of chord reference coefficients stored in a chord database. In this study, there are seven similarity values since there are seven arrays of chord reference coefficients stored in a chord database. In this case, each chord reference coefficient corresponds with a chord of C, D, E, F, G, A, or B. The values of similarity were calculated using cosine similarity. The consideration of using this similarity is that it is used widely to calculate the similarity value [17,18].

The chord decision determines an output text, which is associated with the chord information in the input signal. That output text, namely C, D, E, F, G, A, or B. The following describes the approach for determining the output text.

1. Search the biggest similarity value among the above-mentioned seven similarity values.
2. Search a chord text that corresponds with the biggest similarity value, such as C, D, E, F, G, A, or B.
3. Choose that chord text as the output text.

As a note, the above-mentioned similarity calculation and chord decision show that this study make use a template matching classification approach [19-21].

Chord Database

A chord database was created by combining seven reference coefficients of feature extraction. These seven reference coefficients reflect all of the chords used in this study, namely C, D, E, F, G, A, and B. The following is the methodology for creating the chord database.

1. Record ten samples of every chord (C, D, E, F, G, A, and B). These ten samples were chosen with the assumption that by recording ten samples, all samples variability would be acquired.
2. Perform feature extraction on all recorded samples. As illustrated in Fig. 1, this computation is executed utilizing normalization up to DCT processes.
3. Using the formula below to calculate the sample average for every chord.

$$R_v = \frac{1}{10} \sum_{m=1}^{10} P_{v,m} \quad (5)$$

where v is a chord (C, D, E, F, G, A, or B). $\{P_{v,k} \mid 1 \leq k \leq 10\}$ are ten arrays of v chord coefficients, and R_v is an array of v chord reference coefficients..

4. Stored the sample averages R_C , R_D , R_E , R_F , R_G , R_A , and R_B into a chord database.

Test Chords

There were a total of 140 test chords. These chords were obtained by recording the other 20 samples of every chord (C, D, E, F, G, A, and B).

Performance Testing and Result

The performance testing was carried out by using the above-mentioned test chords. During testing, three parameters were evaluated simultaneously. They are the values of the lowest mel filter frequency, the number of mel filters, and the shape factor of Kaiser window. As a note, the lowest mel filter frequency is the mel bandpass filter's lowest center frequency, and the number of mel filters is the number of mel filters in the mel filter bank. The lowest mel filter frequencies used were 40, 45, 50, 55, 60, 65, 70, and 75 Hz. The number of mel filters used were 10, 15, 20, 25, 30, 35, 40, and 45 filters. The shape factor values used were 1-8. During performance testing, only a range of 1-8 coefficients of feature extraction were used. The reason for choosing this range is conformed with the purpose of this study, namely to evaluate the number of these coefficients smaller than eight in a chord recognition system.

The performance result is shown in Table 1. This result is the best performance result. It was obtained by using the following the best parameters: shape factor (β) of 5, the lowest mel filter frequency of 60 Hz, and the number of mel filters of 35 filters. As a note, the accuracy was computed by dividing the correctly recognized chords by the total number of test chords (140 chords).

TABLE 1. The best performance result

Number of coefficients of feature extraction (coefficients)	1	2	3	4	5	6	7	8
Accuracy (%)	14.29	50.00	87.86	92.14	92.14	92.14	92.14	91.43

DISCUSSIONS

From the standpoint of the number of coefficients of feature extraction, as indicated in Table 1, as the number of these coefficients gets larger, so does the accuracy. Essentially, as the number of these coefficients gets larger, so does the feature extraction space dimension. This occurrence will make it simpler to discriminate between the pattern of one class with other classes. This simpler discrimination will eventually lead to greater accuracy.

As indicated in Table 1, the best performance is four coefficients of feature extraction, since it is the lowest number of coefficients that gives the highest accuracy. On the other hand, by using only four coefficients, the chord recognition system can give accuracy up to 92.14%. If we compare to another feature extraction method that is based on segment averaging [7][8], if we use only four coefficients, they can only give accuracy up to 70.71% and 82.86%, respectively. Thus, it can be said that if we use only four coefficients, the studied MFCC with Kaiser windowing is the most efficient feature extraction if we take into account accuracy above 90%.

The comparison of the performance of the studied feature extraction method with several other feature extraction methods that is shown in Table 2. As indicated in Table 2, if we only take into account accuracy above 90%, the studied feature extraction method is the most efficient one. It only needs four coefficients in order to achieve accuracy of up to 92.14%.

TABLE 2. The comparison of the performance of several feature extraction methods for guitar chord recognition

Feature Extraction Methods	Number of Coefficients	Accuracy (%)	Test Chords
Improved PCP [3]	12	95.83	192 test chords from 192 generated guitar chords
CRP (Chroma DCT-Reduced log Pitch) Enhanced PCP [2]	12	99.96	4608 test chords from 576 generated guitar chords
Segment averaging with SHPS and logarithmic scaling [7]	8	100	140 test chords from 140 recorded guitar chords
Segment averaging and subsampling [8]	6	91.43	140 test chords from 140 recorded guitar chords
MFCC with Kaiser windowing (this study)	4	92.14	140 test chords from 140 recorded guitar chords

Note: (1) The number of coefficients is refers to the number of coefficients of feature extraction.; (2) The table only shows the lowest number of coefficients, which can give an accuracy of above 90%.

CONCLUSION AND FUTURE STUDY

This study proposes three parameters that can be evaluated simultaneously in an MFCC with Kaiser windowing in a guitar chord recognition. The three parameters, namely the lowest mel filter frequency and the number of mel filters in the mel filter bank, and also the shape factor of the Kaiser window. Based on experiments that have been carried out using 140 test chords, the best result can be obtained by using four coefficients of feature extraction, since it could achieve an accuracy of up to 92.14%. For future study, other methods besides MFCC with Kaiser windowing can be studied. In this case, by using only four coefficients, it could give an accuracy of above 92.14%.

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